



2875

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

TRANSMITTAL SHEET

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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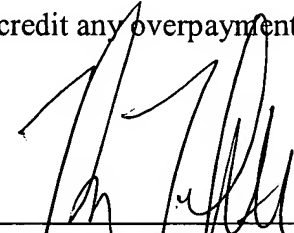
**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 6th day of May, 2003.

By: \_\_\_\_\_

Brian N. Tufte

We are transmitting herewith the attached:

- ☒ Two (2) Certifications for Information Disclosure Statements.
- ☒ Two (2) Information Disclosure Statements, Form PTO-1449s and 9 cited references and 22 cited references.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

  
\_\_\_\_\_  
Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



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**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97(e)**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 6th day of May, 2003.

By: Brian N. Tufte

Dear Sir:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

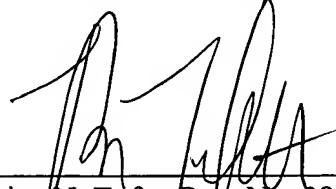
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any

individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

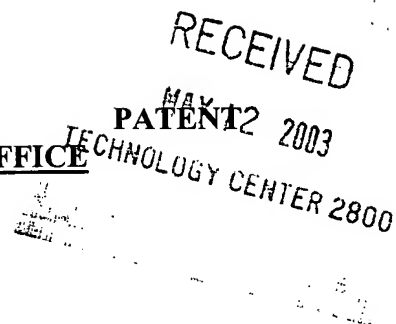
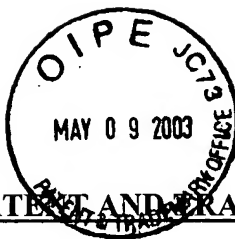
Dated:

May 6, 2003



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 6th day of May, 2003.

By: \_\_\_\_\_

Brian N. Tufte

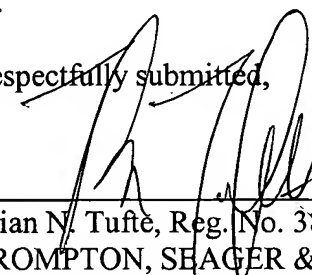
Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

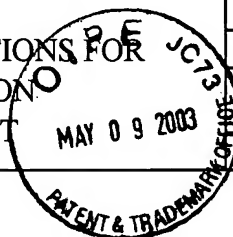
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: May 6, 2003

  
\_\_\_\_\_  
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<b>FORM PTO-1449</b>		Atty. Docket No.: 1076.1101107	Serial No.: 10/075,488
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Brian N. Tufte	
		Filing Date: February 12, 2002	Group Art: 2875



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1,647,014	10/27	Pattison			
	1,972,283	09/34	Zimmers			
	2,525,001	10/50	Sherwood			
	2,959,146	11/60	Erkert			
	3,140,111	07/64	Dabroski			
	3,197,189	07/65	Pemper et al.			
	3,359,030	12/67	Newman			
	3,473,836	10/69	Halter			
	3,865,358	02/75	Butters			
	4,270,787	06/81	Savell			
	4,996,634	02/91	Haneda et al.			
	5,096,753	03/92	McCue et al.			
	5,499,170	03/96	Gagne			
	5,523,923	06/96	Stowe, Jr.			
	5,613,750	03/97	Roe			
	5,711,592	01/98	Hotta			
	5,775,016	07/98	Chien			
	6,019,476	02/00	Kischner			
	6,050,211	04/00	Yamaguchi			
	6,120,160	09/00	Nakagawa			
	6,231,217	05/01	Krippelz, Sr.			
	6,364,293	04/02	Beckett			

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.